

08/17/01  
JC891 U.S. PTO

98-20-01

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PTO/SB/05 (11-00)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

|                        |  |
|------------------------|--|
| Attorney Docket No.    | CYBS5753                                       |
| First Inventor         | GATTO, Jean-Marie                              |
| Title                  | Methods And Systems For Interactive Television |
| Express Mail Label No. | EL 833 861 089 US                              |

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09/932282  
08/17/01

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

|  |  |
|--|--|
| 1. <input type="checkbox"/>            | Fee Transmittal Form (e.g., PTO/SB/17)<br>(Submit an original and a duplicate for fee processing)  |
| 2. <input checked="" type="checkbox"/> | Applicant claims small entity status.<br>See 37 CFR 1.27.  |
| 3. <input checked="" type="checkbox"/> | Specification [Total Pages 70]<br>(preferred arrangement set forth below)<br>- Descriptive title of the invention<br>- Cross Reference to Related Applications<br>- Statement Regarding Fed sponsored R & D<br>- Reference to sequence listing, a table, or a computer program listing appendix<br>- Background of the Invention<br>- Brief Summary of the Invention<br>- Brief Description of the Drawings (if filed)<br>- Detailed Description - 1 cover sheet<br>- Claim(s)<br>- Abstract of the Disclosure |
| 4. <input checked="" type="checkbox"/> | Drawing(s) (35 U.S.C. 113) [Total Sheets 11]   |
| 5. <input type="checkbox"/>            | Oath or Declaration [Total Pages ]<br>a. <input type="checkbox"/> Newly executed (original or copy)<br>Copy from a prior application (37 CFR 1.63 (d))<br>(for continuation/divisional with Box 18 completed)<br>b. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).   |
| 6. <input type="checkbox"/>            | Application Data Sheet. See 37 CFR 1.76  |

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

|                             |  |
|-----------------------------|--|
| 7. <input type="checkbox"/> | CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  |
| 8. <input type="checkbox"/> | Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)<br>a. <input type="checkbox"/> Computer Readable Form (CRF)<br>b. Specification Sequence Listing on:<br>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or<br>ii. <input type="checkbox"/> paper<br>c. <input type="checkbox"/> Statements verifying identity of above copies |

## ACCOMPANYING APPLICATION PARTS

|   |   |
|---|---|
| 9. <input type="checkbox"/>             | Assignment Papers (cover sheet & document(s))   |
| 10. <input type="checkbox"/>            | 37 CFR 3.73(b) Statement of Attorney (when there is an assignee) <input type="checkbox"/> Power of Attorney         |
| 11. <input type="checkbox"/>            | English Translation Document (if applicable)  |
| 12. <input checked="" type="checkbox"/> | Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations         |
| 13. <input type="checkbox"/>            | Preliminary Amendment   |
| 14. <input checked="" type="checkbox"/> | Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  |
| 15. <input type="checkbox"/>            | Certified Copy of Priority Document(s) (if foreign priority is claimed)   |
| 16. <input type="checkbox"/>            | Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. |
| 17. <input type="checkbox"/>            | Other: _____  |

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

|   |                                     |   |                                 |
|---|-------------------------------------|---|---------------------------------|
| <input type="checkbox"/> Continuation         | <input type="checkbox"/> Divisional | <input type="checkbox"/> Continuation-in-part (CIP) | of prior application No.: _____ |
| Prior application information: Examiner _____ |                                     | Group Art Unit. _____                               |                                 |

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

|                                     |   |   |              |                                     |                              |
|-------------------------------------|---|---|--------------|-------------------------------------|------------------------------|
| <input checked="" type="checkbox"/> | Customer Number or Bar Code Label             | (insert Customer Number or Bar Code Label here) | or           | <input checked="" type="checkbox"/> | Correspondence address below |
| Name                                | Alan W. Young 22430                           |   |              |                                     |                              |
|                                     | Young Law Firm, P.C. PATENT, TRADEMARK OFFICE |   |              |                                     |                              |
| Address                             | 4370 Alpine Road, Suite 106                   |   |              |                                     |                              |
| City                                | Portola Valley                                | State   | CA           | Zip Code                            | 94028                        |
| Country                             | USA   | Telephone                                       | 650-851-7210 | Fax                                 | 650-851-7232                 |

|                   |               |                                   |               |
|-------------------|---------------|-----------------------------------|---------------|
| Name (Print/Type) | Alan W. Young | Registration No. (Attorney/Agent) | 37,970        |
| Signature         |               | Date                              | Aug. 17, 2001 |

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 948.00)

## Complete if Known

|                      |                  |
|----------------------|------------------|
| Application Number   | To Be Assigned.  |
| Filing Date          | To Be Assigned.  |
| First Named Inventor | Jean-Marie GATTO |
| Examiner Name        | To Be Assigned   |
| Group Art Unit       | To Be Assigned.  |
| Attorney Docket No.  | WEBE5753         |

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number
- Deposit Account Name
- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status. See 37 CFR 1.27
2. ☒ Payment Enclosed:
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description        | Fee Paid |
|----------------------------|----------------------------|------------------------|----------|
| 101 710                    | 201 355                    | Utility filing fee     | 355      |
| 106 320                    | 206 160                    | Design filing fee      |          |
| 107 490                    | 207 245                    | Plant filing fee       |          |
| 108 710                    | 208 355                    | Reissue filing fee     |          |
| 114 150                    | 214 75                     | Provisional filing fee |          |

SUBTOTAL (1) (\$)

### 2. EXTRA CLAIM FEES

Total Claims 77 -20\*\* = 57 X Fee from below 9 = 513.00

Independent Claims 4 -3\*\* = 1 X 40 = 40.0

Multiple Dependent  =

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  |
|----------------------------|----------------------------|--|
| 103 18                     | 203 9                      | Claims in excess of 20                                     |
| 102 80                     | 202 40                     | Independent claims in excess of 3                          |
| 104 270                    | 204 135                    | Multiple dependent claim, if not paid                      |
| 109 80                     | 209 40                     | ** Reissue independent claims over original patent         |
| 110 18                     | 210 9                      | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 553.00)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

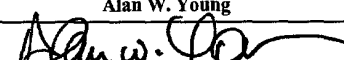
| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  | Fee Paid |
|----------------------------|----------------------------|--|----------|
| 105 130                    | 205 65                     | Surcharge - late filing fee or oath  |          |
| 127 50                     | 227 25                     | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139 130                    | 139 130                    | Non-English specification  |          |
| 147 2,520                  | 147 2,520                  | For filing a request for ex parte reexamination                            |          |
| 112 920*                   | 112 920*                   | Requesting publication of SIR prior to Examiner action                     |          |
| 113 1,840*                 | 113 1,840*                 | Requesting publication of SIR after Examiner action                        |          |
| 115 110                    | 215 55                     | Extension for reply within first month                                     |          |
| 116 390                    | 216 195                    | Extension for reply within second month                                    |          |
| 117 890                    | 217 445                    | Extension for reply within third month                                     |          |
| 118 1,390                  | 218 695                    | Extension for reply within fourth month                                    |          |
| 128 1,890                  | 228 945                    | Extension for reply within fifth month                                     |          |
| 119 310                    | 219 155                    | Notice of Appeal   |          |
| 120 310                    | 220 155                    | Filing a brief in support of an appeal                                     |          |
| 121 270                    | 221 135                    | Request for oral hearing   |          |
| 138 1,510                  | 138 1,510                  | Petition to institute a public use proceeding                              |          |
| 140 110                    | 240 55                     | Petition to revive - unavoidable   |          |
| 141 1,240                  | 241 620                    | Petition to revive - unintentional   |          |
| 142 1,240                  | 242 620                    | Utility issue fee (or reissue)   |          |
| 143 440                    | 243 220                    | Design issue fee   |          |
| 144 600                    | 244 300                    | Plant issue fee  |          |
| 122 130                    | 122 130                    | Petitions to the Commissioner  |          |
| 123 50                     | 123 50                     | Petitions related to provisional applications                              |          |
| 126 240                    | 126 240                    | Submission of Information Disclosure Stmt                                  |          |
| 581 40                     | 581 40                     | Recording each patent assignment per property (times number of properties) | 40.00    |
| 146 710                    | 246 355                    | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149 710                    | 249 355                    | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179 710                    | 279 355                    | Request for Continued Examination (RCE)                                    |          |
| 169 900                    | 169 900                    | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40.00)

## SUBMITTED BY

|                   |   |                                   |               |           |              |
|-------------------|---|-----------------------------------|---------------|-----------|--------------|
| Name (Print/Type) | Alan W. Young   | Registration No. (Attorney/Agent) | 37,970        | Telephone | 650-851-7210 |
| Signature         |  | Date                              | Aug. 17, 2001 |           |              |

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